

**BIRCH, STEWART, KOLASCH & BIRCH, LLP**

TERRELL C. BIRCH  
RAYMOND C. STEWART  
JOSEPH A. KOLASCH  
JAMES M. SLATTERY  
BERNARD L. SWEENEY\*  
MICHAEL K. MUTTER  
CHARLES GORENSTEIN  
GERALD M. MURPHY, JR.  
LEONARD R. SVENSSON  
ANDREW D. MEIKLE  
MARC S. WEINER  
JOE MCKINNEY MUNCY  
ROBERT J. KENNEY  
JOHN W. BAILEY  
MARK J. NUELL, PH.D.  
JAMES T. ELLER, JR.  
D. RICHARD ANDERSON

OF COUNSEL:  
HERBERT M. BIRCH (1905-1996)  
ELLIOT A. GOLDBERG\*  
WILLIAM L. GATES\*  
EDWARD H. VALANCE  
RUPERT J. BRADY (RET.)\*  
F. PRINCE BUTLER  
FRED S. WHISENHUNT  
JAMES W. HELLWEGE

\*ADMITTED TO A BAR OTHER THAN VA.

INTELLECTUAL PROPERTY LAW  
8110 GATEHOUSE ROAD  
SUITE 500 EAST  
FALLS CHURCH, VA 22042-1210  
USA

(703) 205-8000

FAX: (703) 205-8050  
(703) 698-8590 (G IV)

e-mail: mailroom@bskb.com  
web: <http://www.bskb.com>

CALIFORNIA OFFICE  
COSTA MESA, CALIFORNIA

SCOTT L. LOWE  
PAUL C. LEWIS  
RICHARD J. GALLAGHER  
JAYNE M. SAYDAH\*  
MARYANNE ARMSTRONG, PH.D.  
KECIA J. REYNOLDS  
ROBERT L. SCOTT, II  
KALPANA REDDY  
MARK E. OLDS  
ESTHER H. CHONG  
CATHERINE M. VOISINET  
EUGENE T. PEREZ

REG. PATENT AGENTS:  
FREDERICK R. HANDREY  
MAKI HATSUMI  
CRAIG A. McROBBIE  
GARTH M. DAHLEN, PH.D.  
ROBERT E. GOOZNER, PH.D.  
KRISTI L. RUPERT, PH.D.  
MATTHEW T. SHANLEY  
SUSAN W. GORMAN, PH.D.  
DAVID J. CHO  
ROBERT W. DOWNS

10/02/06  
12/27/01

Date: December 27, 2001

Docket No.: 1110-0306P

**BOX PATENT APPLICATION**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b), is a Continuation-In-Part application of PCT International Application No. PCT/JP00/04374 filed on June 30, 2000. The present application is filed on behalf of the inventor(s) according to the provisions of 37 C.F.R. § 1.41(c).

Inventor(s): NISHIDA, Hidemitsu; SAITO, Fumihiro;  
HARADA, Kousuke; SHIROMIZU, Ikuya;  
MUKAIHIRA, Takafumi

For: TRICYCLIC COMPOUND HAVING SPIRO UNION

Enclosed are:

- A specification consisting of Three Hundred Seventy Nine (379) pages
- Forty-Eight (48) sheet(s) of formal drawings
- An assignment of the invention
- Certified copy of Priority Document(s)
- Information Disclosure Statement, PTO-1449 with reference(s)
- Executed Declaration in accordance with 37 C.F.R. § 1.64 will follow

Applicant claims small entity status under 37 C.F.R. § 1.27.

Preliminary Amendment

Information Sheet

Priority of PCT International Application No. PCT/JP00/04374 filed on June 30, 2000 is claimed under 35 U.S.C. § 120.

Amend the specification by inserting before the first line thereof the following:

--This application is a Continuation-In-Part of copending PCT International Application No. filed on which was published in English and which designated the United States and on which priority is claimed under 35 U.S.C. § 120, the entire contents of which are hereby incorporated by reference---

Priority of Application Nos. 11/222883 and 2000/399998 filed in Japan on June 30, 1999 and December 28, 2000, respectively are claimed under U.S.C. § 119.

Other:

The filing fee has been calculated as shown below:

		LARGE ENTITY		SMALL ENTITY	
BASIC FEE		\$740.00		\$370.00	
	NUMBER FILED	NUMBER EXTRA	RATE	FEE	RATE
<b>TOTAL CLAIMS</b>	28- 20 =	8	X 18 =	\$144.00	x 9 = \$0.00
<b>INDEPENDENT CLAIMS</b>	14- 3 =	11	x 84 =	\$924.00	x 42 = \$0.00
<b>MULTIPLE DEPENDENT CLAIMS PRESENTED</b>			+ \$280.00		+ \$140.00
		<b>TOTAL</b>	\$2,088.00		\$0.00

A check in the amount of \$2,088.00 to cover the filing fee and recording fee (if applicable) is enclosed.

Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

Please send correspondence to:

BIRCH, STEWART, KOLASCH & BIRCH, LLP or Customer No. 2292  
P.O. Box 747  
Falls Church, VA 22040-0747  
Telephone: (703) 205-8000

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Gerald M. Murphy (K0040069)  
Gerald M. Murphy, Jr., #28,977

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

Attachments

(Rev. 11/15/01)

IN THE U.S. PATENT AND TRADEMARK OFFICE

I N F O R M A T I O N   S H E E T

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Applicant: NISHIDA, Hidemitsu; SAITO, Fumihiro;  
HARADA, Kousuke; SHIROMIZU, Ikuya;  
MUKAIHIRA, Takafumi

Appl. No.: New

Filed: December 27, 2001

For: TRICYCLIC COMPOUND HAVING SPIRO UNION

Priority Claimed Under 35 U.S.C. § 119 and/or § 120:

Japan	11-222883	June 30, 1999
Japan	2000-399998	December 28, 2000
PCT	PCT/JP00/04374	June 30, 2000

Send Correspondence to:

BIRCH, STEWART, KOLASCH & BIRCH, LLP or CUSTOMER NO. 2292  
P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

The above information is submitted to advise the U.S.P.T.O. of all relevant facts in connection with the present application.

A timely executed Declaration in accordance with 37 C.F.R. § 1.64 will follow.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Marguerite Armstrong (1610640,069)  
Gerald M. Murphy, Sr., #28,977

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

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(Rev. 09/26/01)